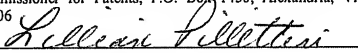


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Lillian Pillitteri

Serial No.:

Filed:

Applicant: Yim-Bun Patrick Kwan; Bernhard Geuppert; Nico Kemper

Title: HOUSING STRUCTURE FOR MOUNTING OPTICAL ELEMENTS

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Pursuant to 37 C.F.R. § 1.56, the disclosures listed on the attached Form PTO-1449 are called to the attention of the U.S. Patent and Trademark Office in connection with the above-identified application. Copies of the cited foreign art are attached. These disclosures are being submitted within three (3) months of the filing date or prior to the receipt of an Office Action on the merits pursuant to 37 C.F.R. § 1.97; thus no fee should be required. However, if a fee is required, please charge same to undersigned's Deposit Account No. 50-3744.

The Examiner is invited to review the subject matter of these references, and independently assess the teachings and materiality, if any, to the pending claims. Pursuant to 37 C.F.R. § 1.97(h), no admission is made that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Respectfully submitted,

GRAYROBINSON

By: 

Donald S. Showalter, Reg. No. 33,033

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23312 vi

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		
	Filing Date		
	First Named Inventor	Yim-Bun Patrick Kwan	
	Art Unit		
	Examiner Name		
	Attorney Docket Number	72261.48	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		
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	Art Unit		
	Examiner Name		
	Attorney Docket Number	72261.48	

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	
Filing Date	
First Named Inventor	Yim-Bun Patrick Kwan
Art Unit	
Examiner Name	
Attorney Docket Number	72261.48

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Donald S. Showalter/	Date (YYYY-MM-DD)	2006-08-10
Name/Print	Donald S. Sholwalter	Registration Number	33033

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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